

Under the Municipal Securities Rulemaking Board (MSRB) Fortune Financial Services, Inc. is required to provide this annual notice to all purchasers of 529 plans.

- Fortune Financial Services, Inc. is registered with the Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA) and the Municipal Securities Rulemaking Board (MSRB)

- You may view information and educational materials regarding the purchase of a 529 Plan at:
 - MSRB <http://www.msrb.org/EducationCenter.aspx>
 - FINRA <https://www.finra.org/investors/insights/529-savings-plan-investor-tips>
 - SEC <https://www.sec.gov/investor/pubs/intro529.htm>

- Further information regarding the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority can be found in the MSRB Investor Brochure on the home page of MSRB at <http://www.msrb.org/>.